



**MALTA STOCK EXCHANGE
INSTITUTE**

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Introduction to the Whistleblower Directive

Course No 136

Whistleblowing is one of the largest forms of detection of occupational, fraud, bribery and corruption and one of the best methods for the prevention of commercial crime. Yet, there are still individuals who, due to fear of retaliation, do not report any wrongdoings as there is a perception that these whistleblowers are not afforded enough protection. With the implementation of Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019 on the protection of persons who report breaches of Union law (also known as the "Whistleblower Protection Directive", the EU is sending a strong message that those employees seeking protection or retribution from exposing and disclosing wrongdoing, will receive it. The Directive, which was entered into force on 16th December 2019 with an implementation deadline of 17th December 2021, sets out the requirements for EU members states regulations in relation to the protection of whistleblowers and disclosures. Amongst other things that will be impacted by the Directive and its transposition into local legislation, the Directive will be applicable to various entities in the public and private sector. This course is intended to provide some insights on what to expect with the upcoming local legislation, which entities would fall within scope and what procedures should be put in place to ensure entities remain in compliance of the legislation.

Supported by:



Sample Topics Covered:

The types of organisations, external parties and authorities affected by the Whistleblowing Reporting Procedures Directive

How to create and implement effective reporting procedures

The difference between internal and external reporting procedures and public disclosures

The role of the Whistleblowing Reporting Officer /Unit within the organisation

The information that must be provided to be deemed a protected disclosure

The type of breaches protected under the new Whistleblower Protection Directive

The types of retaliation employees can be protected from within organisations

How to keep a proper record of breaches and whistleblowing reports

Comparison between the EU Directive and the applicable Maltese legislation

What is to be expected in the transposition of the Directive

General Information

Time: 09.30 - 12.00hrs

Venue: Online

Level: Introductory

Schedule

Duration: 2.5 hours

Dates: 28 October

Target Audience

All executives of financial service organisations, compliance officers, lawyers, auditors and consultants and CSPs.

Registration fee: **€80 per participant**

- Full time student (50% discount)
- Senior citizen (50% discount)
- Group booking of 4 or more applicants from the same Organisation (10% discount)

Applications are to be made by clicking the **'apply now'** button and completing the online application process.

Malta Stock Exchange Institute Ltd.

Garrison Chapel,
Castille Place,
Valletta VLT 1063,
Malta

website: www.borzamalta.com.mt

email: msei@borzamalta.com.mt

tel: +356 21 244 051

Lecturer

Mr Francois Ganado



Francois is an Executive Consultant within the Firm and is responsible for the Risk Management & Compliance services across a broad range of clients in various industries. He has over 16 years working as a management consultant with one of the Big 4 firms, with experience spanning from strategy, business analysis, business planning, investment appraisal, business process improvement, operational effectiveness and continuous improvement, project management.

Upon joining RSM, Francois immediately took over the team's engagement management role and responsibilities from the Principal. He led engagements covering areas such as GDPR Impact Assessments, Audits, Training as well as acted as the named DPO for various entities. Since 2021, he has been tasked to lead the Risk Management and related Compliance services.

Francois holds a Masters in International Economics and Management from SDA Bocconi in Milan (Italy). His certifications include CIPP/e and CIPM from the International Association of Privacy Professionals (IAPP) and the International Certificate in Enterprise Risk Management from the Institute of Risk Management (UK) amongst others.

Cheques to be made payable to: Malta Stock Exchange Institute Ltd.

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